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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/805,598	
	Filing Date	March 12, 2001	
	First Named Inventor	Van Diep Nguyen	
	Group Art Unit	3628	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	10	Attorney Docket Number	155603-0209 (P053)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 191 References
Remarks		RECEIVED AUG 06 2002 GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Ben J. Yorks, Esq. Reg. No. 33,609 IRELL & MANELLA LLP
Signature	<i>Ben Yorks</i>
Date	July 30, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 30, 2002			
Typed or printed name	Aimee V. Hilado		
Signature	<i>Aimee V. Hilado</i>	Date	July 30, 2002

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PTO/SB/17 (11-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 00.00

Complete if Known

Application Number 09/805,598
Filing Date March 12, 2001
First Named Inventor Van Diep Nguyen
Examiner Name Unknown
Group Art Unit 3628
Attorney Docket No. 155603-0209 (P053)

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

09-0946

Irell & Manella LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 740	201 370			Utility filing fee	
106 330	206 165			Design filing fee	
107 510	207 255			Plant filing fee	
108 740	208 370			Reissue filing fee	
114 160	214 80			Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims -20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
103 18	203 9			Claims in excess of 20
102 84	202 42			Independent claims in excess of 3
104 280	204 140			Multiple dependent claim, if not paid
109 84	209 42			** Reissue independent claims over original patent
110 18	210 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105 130	205 65			Surcharge - late filing fee or oath	
127 50	227 25			Surcharge - late provisional filing fee or cover sheet	
139 130	139 130			Non-English specification	
147 2,520	147 2,520			For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	
115 110	215 55			Extension for reply within first month	
116 400	216 200			Extension for reply within second month	
117 920	217 460			Extension for reply within third month	
118 1,440	218 720			Extension for reply within fourth month	
128 1,960	228 980			Extension for reply within fifth month	
119 320	219 160			Notice of Appeal	
120 320	220 160			Filing a brief in support of an appeal	
121 280	221 140			Request for oral hearing	
138 1,510	138 1,510			Petition to institute a public use proceeding	
140 110	240 55			Petition to revive - unavoidable	
141 1,280	241 640			Petition to revive - unintentional	
142 1,280	242 640			Utility issue fee (or reissue)	
143 460	243 230			Design issue fee	
144 620	244 310			Plant issue fee	
122 130	122 130			Petitions to the Commissioner	
123 50	123 50			Processing fee under 37 CFR 1.17(q)	
126 180	126 180			Submission of Information Disclosure Stmt	00.00
581 40	581 40			Recording each patent assignment per property (times number of properties)	
146 740	246 370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370			For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370			Request for Continued Examination (RCE)	
169 900	169 900			Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 00.00

SUBMITTED BY

Name (Print/Type)	Ben J. Yorks	Registration No. (Attorney/Agent)	33,609	Telephone	(949) 760-0991
Signature	<i>Ben Yorks</i>	Date	July 30, 2002		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nguyen, et al.

Application No.: 09/805,598

Filed: March 12, 2001

For: PROCESS AND DEVICE FOR DISPLACING A
MOVEABLE UNIT ON A BASE

Examiner: Unknown

Group Art: 3628

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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Dear Sir:

In accordance with Applicant's duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97-1.98, Applicant submits the enclosed Form PTO-1449, along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, and therefore, no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any fee due to Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

IRELL & MANELLA LLP

Dated: July 30, 2002

Ben Yorks
Ben Yorks, Reg. No. 33,609

840 Newport Center Drive
Suite 400
Newport Beach, CA 92660
Telephone: (949) 760-0991

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Aimee V. Hilado
Aimee V. Hilado

7/30/02
Date



Form PTO/SB/08A (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 6

Complete if known

Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
	1.	6,198,580		Dallakian	03/06/2001	
	2.	6,209,841		Houghton, Jr. et al.	04/03/2001	
	3.	5,946,023		Blanding	08/31/1999	
	4.	5,963,695		Joyce	10/05/1999	
	5.	6,016,230		Nunnally et al.	01/18/2000	
	6.	5,909,324		Bryant et al.	06/01/1999	
	7.	5,941,920		Schubert	08/24/1999	
	8.	5,852,519		Do et al.	12/22/1998	
	9.	5,880,894		Blakley	03/09/1999	
	10.	5,794,912		Whittaker et al.	08/18/1998	
	11.	5,745,633		Giebel et al.	04/28/1998	
	12.	5,930,057		Sechrist et al.	07/27/1999	
	13.	5,757,561		Sechrist et al.	05/26/1998	
	14.	5,765,800		Watanabe et al.	06/16/1998	
	15.	6,022,005		Gran et al.	02/08/2000	
	16.	5,825,558		Farmiga et al.	10/20/1998	
	17.	5,774,614		Gilliland et al.	06/30/1998	
	18.	5,748,821		Schempp et al.	05/05/1998	
	19.	5,761,360		Grois et al.	06/02/1998	
	20.	5,668,906		Yamamura et al.	09/16/1997	
	21.	5,737,132		Luecke et al.	04/07/1998	
	22.	5,717,804		Pan et al.	02/10/1998	
	23.	5,653,317		Wakui	08/05/1997	
	24.	5,751,877		Ishizaka et al.	05/12/1998	
	25.	5,655,045		Morlion et al.	08/05/1997	
	26.	5,619,609		Pan et al.	04/08/1997	
	27.	5,598,500		Crespel et al.	01/28/1997	
	28.	5,673,350		Song et al.	09/30/1997	
	29.	5,570,444		Janssen et al.	10/29/1996	
	30.	5,689,607		Vincent et al.	11/18/1997	
	31.	5,603,387		Beard et al.	02/18/1997	
	32.	5,725,066		Beard et al.	03/10/1998	
	33.	5,638,482		Winterhoff et al.	06/10/1997	
	34.	5,553,186		Allen	09/03/1996	
	35.	5,758,004		Alarcon et al.	05/26/1998	

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

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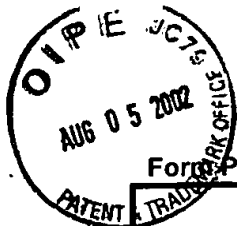
Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

36.	5,617,501	Miller et al.	04/01/1997
37.	5,793,921	Wilkins et al.	08/11/1998
38.	5,793,920	Wilkins et al.	08/11/1998
39.	5,530,785	Sakamoto et al.	06/25/1996
40.	5,530,547	Arnold	06/25/1996
41.	5,581,077	Chirovsky et al.	12/03/1996
42.	5,434,945	Burek et al.	07/18/1995
43.	5,428,225	Silva et al.	06/27/1995
44.	5,660,255	Schubert et al.	08/26/1997
45.	5,823,307	Schubert et al.	10/20/1998
46.	5,564,537	Shoureshi	10/15/1996
47.	5,410,145	Coroy	04/25/1995
48.	5,428,635	Zhiglinsky et al.	06/27/1995
49.	5,367,140	Jonaneh et al.	11/22/1994
50.	5,502,598	Kimura et al.	03/26/1996
51.	5,563,972	Krausse et al.	10/08/1996
52.	5,337,383	DeAngelis et al.	08/09/1994
53.	5,528,718	Ray et al.	06/18/1996
54.	5,463,647	Pan	10/31/1995
55.	5,499,261	Welch et al.	03/12/1996
56.	5,469,265	Measures et al.	11/21/1995
57.	5,311,278	Rosencher	05/10/1994
58.	5,251,863	Gossman et al.	10/12/1993
59.	5,300,755	Nishitani et al.	04/05/1994
60.	5,327,061	Gullapalli	07/05/1994
61.	5,434,944	Kerry et al.	07/18/1995
62.	5,285,995	Gonzalez et al.	02/15/1994
63.	5,218,610	Dixon	06/08/1993
64.	5,214,735	Henneberger et al.	05/25/1993
65.	5,321,539	Hirabayashi et al.	06/14/1994
66.	5,189,725	Bensel, III et al.	02/23/1993
67.	5,191,587	Hanson et al.	03/02/1993
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69.	5,289,559	Wilson	02/22/1994
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71.	5,194,993	Bedzyk	03/16/1993
72.	5,319,435	Melle et al.	06/07/1994
73.	5,218,258	Shirasu et al.	06/08/1993
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75.	5,138,496	Pong	08/11/1992
76.	5,140,470	Luecke	08/18/1992

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STATEMENT BY APPLICANT**

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Sheet 3 of 6

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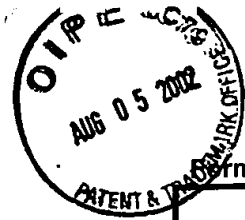
Application Number	09/805,598
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Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

77.	5,146,532	Hodge	09/08/1992
78.	5,132,824	Patel et al.	07/21/1992
79.	5,150,236	Patel	09/22/1992
80.	5,068,749	Patel	11/26/1991
81.	5,044,719	Nakamura	09/03/1991
82.	5,179,618	Anton	01/12/1993
83.	5,000,415	Sandercock	03/19/1991
84.	5,077,747	Hemmer et al.	12/31/1991
85.	4,978,910	Knox et al.	12/18/1990
86.	4,966,474	Geiger	10/30/1990
87.	4,988,165	Ishii et al.	01/29/1991
88.	5,058,124	Cameron et al.	10/15/1991
89.	4,914,867	Saito et al.	04/10/1990
90.	4,915,482	Collins et al.	04/10/1990
91.	4,854,667	Ebata et al.	08/08/1989
92.	4,837,768	Schmid	06/06/1989
93.	4,913,527	Jessop	04/03/1990
94.	4,988,159	Turner et al.	01/29/1991
95.	4,850,671	Finzel	07/25/1989
96.	4,800,262	Lentine	01/24/1989
97.	4,842,397	Eisler	06/27/1989
98.	4,823,220	Milster et al.	04/18/1989
99.	4,807,750	Ryder et al.	02/28/1989
100.	4,782,223	Suzuki	11/01/1988
101.	4,779,959	Saunders	10/25/1988
102.	4,747,657	Chaoui et al.	05/31/1988
103.	4,938,564	Romero	07/03/1990
104.	4,787,691	Lorenzo et al.	11/29/1988
105.	4,763,979	Heywang	08/16/1988
106.	4,850,261	Greene	07/25/1989
107.	4,678,271	Beaulieu	07/07/1987
108.	4,779,946	Pimpinella et al.	10/25/1988
109.	4,657,429	Morris	04/14/1987
110.	4,701,013	Jurczyszyn et al.	10/20/1987
111.	4,679,908	Goodwin	07/14/1987
112.	4,714,315	Krause	12/22/1987
113.	4,708,429	Clark et al.	11/24/1987
114.	4,720,163	Goodwin et al.	01/19/1988
115.	4,759,600	Caron et al.	07/26/1988
116.	4,677,290	Mitch	06/30/1987
117.	4,746,195	Auracher et al.	05/24/1988

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Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE
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Sheet

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Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

118.	4,702,556	Ishii et al.	10/27/1987
119.	4,623,220	Grabbe et al.	11/18/1986
120.	4,673,245	Kling et al.	06/16/1987
121.	4,767,174	Carenco et al.	08/30/1988
122.	4,673,244	Miles	06/16/1987
123.	4,773,730	Sedlmayr	09/27/1988
124.	4,647,147	Pikulski et al.	03/03/1987
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130.	4,479,698	Landis et al.	10/30/1984
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132.	4,500,165	Scholl et al.	02/19/1985
133.	4,523,802	Sakaguchi et al.	06/18/1985
134.	4,523,810	Goss et al.	06/18/1985
135.	4,469,399	Cowen et al.	09/04/1984
136.	4,435,037	Abramson et al.	03/06/1984
137.	4,387,956	Cline	06/14/1983
138.	4,664,732	Campbell et al.	05/12/1987
139.	4,355,323	Kock	10/19/1982
140.	4,350,867	Kinoshita et al.	09/21/1982
141.	4,332,469	Wendland	06/01/1982
142.	4,296,998	Dufft	10/27/1981
143.	4,316,678	F'Geppert	02/23/1982
144.	4,295,152	Khoe et al.	10/13/1981
145.	4,268,113	Noel, Jr.	05/19/1981
146.	4,403,243	Hakamada	09/06/1983
147.	4,237,474	Ladany	12/02/1980
148.	4,167,744	Nyul	09/11/1979
149.	4,357,072	Goodfellow et al.	11/02/1982
150.	4,164,363	Hsu	08/14/1979
151.	4,199,222	Ikushima et al.	04/22/1980
152.	4,144,504	Leggett et al.	03/13/1979
153.	4,079,404	Comerford et al.	03/14/1978
154.	4,088,396	Edelstein	05/09/1978
155.	4,030,811	Khoe et al.	06/21/1977
156.	4,119,363	Camlibel et al.	10/10/1978
157.	4,065,203	Goell et al.	12/27/1977
158.	3,917,201	Roll	11/04/1975

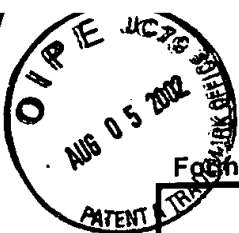
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Form PTO/SB/08A (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	09/805,598
				Filing Date	March 12, 2001
				First Named Inventor	Van Diep Nguyen
				Group Art Unit	3628
				Examiner Name	Unknown
Sheet	5	of	6	Attorney Docket Number	155603-0209 (P053)

	159.	3,945,246		Wadensten	03/23/1976	
	160.	3,751,025		Beery et al.	08/07/1973	
	161.	3,667,525		Spieth	06/06/1972	
	162.	3,620,558		Daniel G. MacMillan	11/16/1971	
	163.	3,578,278		Norman C. Pickering	05/11/1971	
	164.	3,577,791		Jan Abraham Vanden Broek	05/04/1971	
	165.	3,601,476		Donald R. MacKenzie	08/24/1971	
	166.	3,565,515		C.F. DE MEY II	02/23/1971	
	167.	3,460,786		E. I. RIVIN	08/12/1969	
	168.	3,533,012		W. M. JOHNSON ET AL.	10/06/1970	
	169.	3,478,608		V. MET	11/18/1969	
	170.	3,442,475		E. I. RIVIN	05/06/1969	
	171.	3,357,268		T.L. RICHTER	12/12/1967	
	172.	2,367,139		T.I. RESS	01/09/1945	
	173.	1,306,906		F. O. JAQUES, SR.	06/17/1919	
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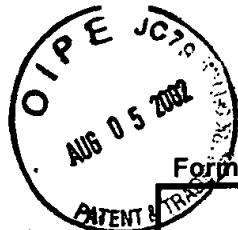
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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Form PTO/SB/08A (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	09/805,598
				Filing Date	March 12, 2001
				First Named Inventor	Van Diep Nguyen
				Group Art Unit	3628
				Examiner Name	Unknown
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